

What I Expect of You

I will come to class prepared. It is your responsibility to study outside of class and come prepared with questions. I find that students that only make a cursory review of the online notes struggle with the exams.

- **Come prepared for every class!** Preview the chapter, complete the reading assignment, attempt the homework and come with questions. I reward the appearance of a curious student.
- Come to class. Participate in class discussions. Form or join and participate in a study group.
- Do all the assigned readings, homework assignments, and suggested study problems and questions in a timely manner.
- Come in during office hours or make an appointment to meet at our offices whenever you're having difficulty or have questions you would like to discuss outside of class.

Attendance

I will not maintain explicit attendance records; however, excessive absences may affect your participation grade. You are responsible for all material presented in class, regardless if you were present or not. This includes instructions on assignment format and turn-in procedures. If you miss class, I will be glad to tell you what material you may have missed but I will not re-teach it.

Homework:

You will have several homework problem assignments to help develop your analytical abilities and to increase your understanding of finance. You may work together with other students on these assignments, but all assignments must be submitted on an individual basis through Canvas[®]. If the assignment is complete and turned in prior to the due date, it will receive a three (3). To be complete *the solutions to the problems must be presented, not just the answers!* If the assignment is late for any reason, the assignment will receive a two (2). If the assignment is incomplete, it will receive at most a one (1). It is your responsibility to make sure it is readable. Solutions for the problem sets will be available once they have been submitted and graded. It is your responsibility to check your work.

Readings and Quizzes:

There will be a series of assigned readings designed to give you exposure to articles about financial markets and investing. Periodic quizzes will be given to test your reading comprehension. Cursory reading of the articles will be woefully inadequate. Questions are designed to test more than just comprehension. Your best 8 of 10 quizzes will be included in calculating your grade. I do not allow late or make-up quizzes. Which quizzes you choose to skip/drop is at your discretion. You may turn in a recent article (more than 2500 words) and suggested test questions for a perfect score as a substitute for one quiz.

Examinations:

There will be three closed-book exams. You may use a financial calculator during these exams. Full credit will be awarded for all correct answers and partial credit will be awarded as deemed appropriate by your instructor.

Group Presentation:

In preparation for the Portfolio Practicum class, you will have the opportunity to analyze and present an stock analysis on a publicly traded stock. More details of this project will be discussed in class.

Course Grade Computation:

Your course grade is based on a point system. The point allocation for exams and homework is:

	Points	Points	Grade
Participation	10/5	>93	A
Homework	10	90 to 92.9	A-
Concept Quizzes	10	87 to 89.9	B+
Readings	10	83 to 86.9	B
Oral Presentation	10	80 to 82.9	B-
Exam 1	15	77 to 79.9	C+
Exam 2	15	73 to 76.9	C
Exam 3	20	70 to 72.9	C-
Total Possible	<u>105/100</u>	<70	D

Scholastic Behavior

All students are expected to uphold standards of academic honesty. Failure to uphold school policies relating to behavior (plagiarism, cheating, etc.) may result in failure of and/or expulsion from the class. Specific DSC policy regarding academic dishonesty can be found in the DSC Policies and Procedures Manual policy 34.

Class Communication/Dmail

Important class and college information will be sent to your D-mail email account. This information includes your DSC bill, financial aid/scholarship notices, notification of dropped classes, reminders of important dates and events, and other information critical to your success in this class and at DSC. All DSC students are automatically assigned a Dmail email account. If you don't know your user name and password, go to www.dixie.edu and select "Dmail," for complete instructions. You will be held responsible for information sent to your Dmail email, so please check it often. I may periodically communicate with you through the announcement function on Canvas.

Americans with Disability Act.

If you suspect or are aware that you have a disability that may affect your success in the course you are strongly encouraged to contact the Disability Resource Center (DRC) located in the North Plaza Building. The disability will be evaluated and eligible students will receive assistance in obtaining reasonable accommodations. Phone # 435-652-7516

Additional Resources

Academic Calendar - <http://new.dixie.edu/reg/?page=calendar>

Computer Labs – Hazy Building room 200.

Library: <http://library.dixie.edu>

Writing Center - http://new.dixie.edu/english/dsc_writing_center.php

Testing Center - <http://dixie.edu/testing>

Tutoring Center - <http://dsc.dixie.edu/tutoring/>

Policy for Absences Related to College Functions: <http://www.dixie.edu/humanres/policy/sec5/523.html>

Disruptive behavior policy / classroom expectations: <http://www.dixie.edu/humanres/policy/sec3/334.html>

Academic dishonesty / Academic integrity policy : <http://www.dixie.edu/humanres/policy/sec3/334.html>

Approximate Schedule

Week	Topics	Readings	Reading Quiz	HW Problems
	Part I - Qualitative			
1-1	Introduction & Vocabulary			
1-2	Investments: Background & Issues	Vocabulary	1	Chapter 1
2-1	Investments: Background & Issues			
2-2	Asset Classes & Financial Instruments	Stocks in Trade	2	
3-1	Holiday			
3-2	Asset Classes & Financial Instruments	Unnatural Causes of Debt	3	Chapter 2
4-1	Securities Markets			
4-2	Securities Markets	Finance: Function Matters, Not Size	4	Chapter 3
5-1	Exam Review			
5-2	Exam I			
	Part II - Quantitative			
6-1	Risk & Return: Past & Prologue			
6-2	Risk & Return: Past & Prologue	What History Tells Us About the Market	5	Chapter 5
7-1	Efficient Diversification			
7-2	Efficient Diversification	Better than Beta	6	Chapter 6
8-1	CAPM & APT			
8-2	CAPM & APT	Alpha Hunters and Beta Grazers	7	Chapter 7
9-1	Exam Review			
9-2	Exam II			
	Part III - Valuation			
10-1	Efficient Markets Hypothesis			
10-2	Efficient Markets Hypothesis	The Battle for Efficient Markets	8	Chapter 8
11-1	Equity Valuation			
11-2	Equity Valuation	Learning to Love Volatility	9	Chapter 13
12-1	Walmart Case Study			
12-2	Walmart Case Study			
13-1	Behavior & Technical Analysis			
13-2	Behavior & Technical Analysis	Moneyed Men in Institutions	10	Chapter 9
14-1	Technical Analysis Presentations			
14-2	Technical Analysis Presentations	Keynes the Stock Market Investor - Sections 1&2	11	
15-1	Technical Analysis Presentations			
15-2	Holiday			
16-1	Exam Review			
16-2	Exam			